

## REMARKS/ARGUMENTS

The Applicants hereby thank the Examiner for the observations in the Advisory Action and for conducting the July 24, 2006, Telephonic Interview. Claim 6 is herein canceled, without prejudice; and Claims 4, 7, and 10 are herein amended to better encompass the full scope and breadth of the present invention, notwithstanding the Applicants' belief that the claims would have been allowable as originally filed. In particular, independent Claims 4 and 10 are herein amended in a manner consistent with the allowed claims in the related divisional application (US 11/007,958), as suggested by the Examiner during the July 24, 2006, Telephonic Interview. Accordingly, the Applicants respectfully assert that no claims have been narrowed within the meaning of *Festo Corp. v. Shoketsu Kinzoku Kogyo Kabushiki Co.*, 234 F.3d 558, 56 U.S.P.Q.2d (BNA) 1865 (Fed. Cir. 2000). Therefore, reconsideration of the present application in light of the foregoing amendments, the premature finality of the rejection, and these remarks is respectfully requested.

### **I. Previous Objection to Claims 4 and 10 on the Grounds of Informalities**

Claims 4 and 10 have been previously objected on the grounds of informalities. Claims 4 and 10 have been previously amended for these informalities, as suggested by the Examiner. Thus, the Applicants believe that Claims 4 and 10, as previously presented, overcome these previous grounds for objection on this basis. Therefore, the Applicants respectfully request that these previous grounds for objection on this basis be withdrawn and that Claims 4 and 10 be passed to allowance.

### **II. Previous Rejection of Claims 4 and 9-11 under 35 U.S.C. § 103(a) on the Ground of Higdon (US 5375363), in view of Moore et al. (US 5716161)**

Claims 4 and 9-11 have been previously rejected, under 35 U.S.C. § 103(a), as being unpatentable over Higdon (US 5375363), in view of Moore et al. (US 5716161). The Examiner asserts that, with respect to Claim 4, Higdon teaches a body 12, an appendage 16,

and a connecting structure 354 and that Moore teaches prongs that extend away from a post 40 and toward a second end of the post 40 to manually install a connecting structure to apertures and quickly remove the connection structure from the apertures without using tools. The Applicants hereby respectfully traverse these grounds for rejection on this basis.

5 With respect to Higdon, this reference merely teaches a decoy having a movable neck, the decoy comprising a neck-to-body connector 354 having a pair of flexible ears 364 projecting therefrom and for inserting into a hole 366 of the body 312 (col. 3, l. 67 – col. 4, l. 6). Referring to Figures 3 and 10, Higdon discloses *only two ears* 364 which subtend an *obtuse angle* with the ring structure A2. Further, the term “ears” and the ring structure A2  
10 are low aspect ratio elements by their definition and as shown and described throughout the Specification and drawings of Higdon. The Examiner concedes that, in Higdon, “the prongs 364 do not extend away from the post toward a second end of the post” (Final Office Action, p. 3).

Regarding Moore, this reference merely teaches a retainer clip having a threaded shank  
15 with a T-head embedment molded in a hex nut head for rotatably threading the clip into a support. Moore’s retainer clip is a fastener which is **necessarily fixedly disposed** by virtue of “threading the clip into a support” (Abstract). The very purpose of the Moore invention is for retaining a planar sheet material member, such as automotive trim, to an underlying support, such as an automotive body (col. 1, ll. 6-7). As such, Moore even teaches against the present  
20 invention claimed features of “a *displaceably suspended* manner” and “the at least one *flexible connecting member comprising a coil spring*” (Claim 4).

Nowhere, in Higdon or Moore, is any express or even implied suggestion to combine the presently claimed elements of independent Claims 4 and 10. Independent system Claims 4 and 10, as herein amended, as discussed, *supra*, recite the following features believed to be  
25 patentably distinct from Higdon, even in view of Moore:

4. (currently amended) A novelty system comprising:  
a body having a plurality of apertures;  
one or more appendages corresponding to one or more said plurality of  
30 apertures and for securement to project in a displaceably suspended manner from said body, each said appendage including at least one flexible connecting

member, the at least one flexible connecting member comprising a coil spring;

a connecting structure that secures said one or more appendages to said body, the connecting structure comprising a post with a first end, a middle portion, and a second end, and a plurality of securing prongs flexibly coupled to the first end of said post and adapted to be inserted through said plurality of apertures and retained therein, said securing prongs extending elastically towards said body to terminate at respective termini for engagement therewith, and the plurality of securing prongs extending away from said post and toward the second end of said post, one end of said flexible connecting member connected to said connecting structure and another end connected to said appendage; and

*a coil spring for facilitating displaceable suspension of the appendage in relation to the body, the coil spring further comprising an L-shaped configuration, the post being coaxially inserted into a first end of the coil spring, and the post comprising a barrier for stopping removal of the coil spring from the post.*

10. (currently amended) A kit for constructing a novelty comprising:

a plurality of detached novelty portions combinable for shipping said novelty in at least a partially unassembled form, said novelty portions comprising a body with apertures for receiving connecting structures, one or more appendages including at least one flexible connecting member comprising a coil spring extending therefrom, and connecting structures for facilitating connection of said appendages to said body by connecting one end of said flexible connecting member to one of said connecting structures and connecting another end to said body,

said one or more appendages being securable upon assembly of said novelty to project in a displaceably suspended manner from said body by operatively connecting said connecting structures with said apertures of said body, each said connecting structure comprising a plurality of securing prongs flexibly coupled to a first end of each said connecting structure to extend towards said body, and said securing prongs terminating at respective termini for engaging said body, .

*the coil spring facilitating displaceable suspension of the appendage in relation to the body, the coil spring further comprising an L-shaped configuration, the post being coaxially inserted into a first end of the coil spring, and the post comprising a barrier for stopping removal of the coil spring from the post.*

The Applicants respectfully submit that the “*at least one flexible connecting member comprising a coil spring*” facilitates “one or more *appendages* corresponding to one or more said plurality of apertures and *for securement* to project in a *displaceably suspended* manner

from said body[.]” The fastener of Moore is configured in a manner to achieve exactly the opposite structure from that of the present invention, i.e., Moore’s fastener must keep the trim on the car without flopping. The combination of the coil spring features, the displaceable suspension, and the remaining features of the present invention are not suggested or motivated  
5 by Higdon or Moore, individually or together.

Thus, the Applicants respectfully submit that Higdon, even in view of Moore, does not teach, motivate, or suggest the limitations of herein amended independent Claims 4 and 10 or those of Claims 9 and 11, by dependency. Therefore, the Applicants respectfully request that these previous grounds for rejection on this basis be withdrawn and that Claims 4 and 9-11 be  
10 passed to allowance.

**III. Previous Rejection of Claims 5-7 under 35 U.S.C. § 103(a) on the Ground of Higdon (US 5375363), in view of Moore et al. (US 5716161), as applied to Claims 4 and 9, and in further view of Weiser et al. (US 6599160)**

Claims 5-7 have been previously rejected, under 35 U.S.C. § 103(a), as being unpatentable over Higdon (US 5375363), in view of Moore et al. (US 5716161), as applied to Claims 4 and 9, and in further view of Weiser et al. (US 6599160). The Examiner concedes that Higdon does not teach that the appendage can be a wing structure, but relies on Weiser for  
15 the teaching. The Examiner further concedes that, in Higdon, “the prongs 364 do not extend away from the post toward a second end of the post” as discussed, *supra* (Final Office Action, p. 3), but relies on Moore for teaching a “stiff” fastener. The Applicants hereby respectfully traverse these grounds for rejection on this basis.

Claim 5 is has been previously amended to recite: “The novelty system of Claim 4,  
25 wherein said one or more appendages comprises a wing structure.” Claim 6 is herein canceled, without prejudice, as discussed, *supra*, thereby rendering moot its previous ground for rejection. In light of the cancellation of Claim 6, Claim 7 is herein amended to depend from Claim 4: “The novelty system of Claim 4, wherein said one or more appendages comprise an enclosure for facilitating its securing to said second end of said connecting

structure.” Claims 5 and 7 further subsume the limitations of herein amended Claim 4 by dependency.

Reiterating, Higdon merely teaches a decoy having a movable neck, the decoy comprising a neck-to-body connector 354 having a pair of flexible ears 364 projecting therefrom and for inserting into a hole 366 of the body 312 (col. 3, l. 67 – col. 4, l. 6). Referring to Figures 3 and 10, Higdon discloses *only two ears* 364 which subtend an *obtuse angle* with the ring structure A2. Further, the term “ears” and the ring structure A2 are low aspect ratio elements by their definition and as shown and described throughout the Specification and drawings of Higdon. The Examiner concedes that, in Higdon, “the prongs 364 do not extend away from the post toward a second end of the post” (Final Office Action, p. 3).

As discussed, *supra*, Moore merely teaches a “stiff” retainer clip having a threaded shank with a T-head embedment molded in a hex nut head for rotatably threading the clip into a support. Moore’s retainer clip is a fastener which is **necessarily fixedly disposed** by virtue of “threading the clip into a support” (Abstract). The very purpose of the Moore invention is for retaining a planar sheet material member, such as automotive trim, to an underlying support, such as an automotive body (col. 1, ll. 6-7). As such, Moore even teaches against the present invention claimed features of “a *displaceably suspended* manner” and “the at least one *flexible connecting member comprising a coil spring*” (Claim 4).

In particular, the Applicants respectfully request reconsideration of the propriety of the outstanding final rejection in light of the secondary cited reference, **Weiser et al. (US 6599160)**. The Applicants respectfully resubmit that this reference should be disqualified as prior art under 35 U.S.C. § 103(c)(1) which provides:

Subject matter developed by another person, which qualifies as prior art only under one or more subsections (e), (f), and (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time of the claimed invention was made, owned by the same person or subject to an obligation of assignment to the same person.

In addition, 35 U.S.C. § 102(e)(2) provides:

a person shall be entitled to a patent unless the invention was described in a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, ....

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**Applying § 103(c)(1) and § 102(e)(2) to the facts of the instant application, the subject matter of the cited reference, Weiser et al. (US 6599160), corresponds to five inventors, Isaac Weiser, Margaret Weiser, Yong-Biao Ye, Xiao-Hong Guo, and Jin-Mao Huang, while the subject matter of the present application corresponds to two inventors, Isaac Weiser and Margaret Weiser. As such, the subject matter of the present application has been developed by “another person.”**

10  
Further, the cited reference is a patent, i.e., Weiser et al. (US 6599160), granted on an application for patent by another, i.e., the set of five inventors, filed in the United States before, i.e., the filing date of US 6599160, June 6, 2002, precedes the filing date, July 1, 2003, of the present application by the applicant for patent, i.e., the two inventors. As such, the cited reference would otherwise, and only in the hypothetically, qualify as prior art under subsection (e) of section 102.

15  
Also, the Applicants hereby resubmit the following statement, under MPEP 706.02(l):

The subject matter of the cited reference, Weiser et al. (US 6599160), and that of the presently claimed invention were, *at the time of the claimed invention was made*, assigned to and owned by the same person, i.e., the entity Exhart Environmental Systems, Inc., as evident from the USPTO Assignment Database Record of the cited patent (Exhibit A: Reel/Frame No. 018026/0904), from the USPTO Assignment Database Record of the cited patent's priority document (US 6572428) (Exhibit B: Reel/Frame No. 012386/0561), and from the present application's USPTO Assignment Database Record (Exhibit C: Reel/Frame No. 014278/0039).

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Please note that the Assignment of the present invention has been executed prior to the filing date of the present invention.

Consequently, the Applicants respectfully request that Weiser et al. (US 6599160) be disqualified as a § 103(a) reference under 35 U.S.C. § 103(c). In light of Weiser being

disqualified as a reference, Higdon, even in view of Moore, does not teach, motivate, nor suggest the invention of Claims 5 and 7. The Applicants respectfully refer the Examiner to the March 6, 2006, Response (p. 10, ll. 13-16) to the February 2, 2006, Office Action, wherein the Applicants indeed have already made a statement that the common ownership was "*at the time the invention was made.*"

Thus, the Applicants respectfully submit that Higdon, even in view of Moore, and even in further view of Weiser, does not teach, motivate, nor suggest the limitations of previously presented Claims 5 and 7. Therefore, the Applicants respectfully request that these previous grounds for rejection on this basis be withdrawn and that Claims 5 and 7 be passed to allowance.

#### **IV. Previous Rejection of Claims 10 and 11 under 35 U.S.C. § 103(a) on the Ground of Weiser et al. (US 6599160), in view of Higdon (US 5375363)**

Claims 10 and 11 have been previously rejected, under 35 U.S.C. § 103(a), as being unpatentable over Weiser et al. (US 6599160), in view of Higdon (US 5375363). The Examiner concedes that Higdon does not teach that the appendage can be a wing structure, but relies on Weiser for the teaching. The Examiner further concedes that, in Higdon, "the prongs 364 do not extend away from the post toward a second end of the post" as discussed, *supra* (Final Office Action, p. 3). The Applicants hereby respectfully traverse these grounds for rejection on this basis.

Claim 10 is herein amended, as discussed, to include "the coil spring facilitating displaceable suspension of the appendage in relation to the body, the coil spring further comprising an L-shaped configuration, the post being coaxially inserted into a first end of the coil spring, and the post comprising a barrier for stopping removal of the coil spring from the post." Claim 11 has been previously presented and recites: "The kit of Claim 10, wherein said connecting structures are insertable into said apertures."

Reiterating, the Applicants respectfully request that Weiser et al. (US 6599160) be disqualified as a cited reference for the foregoing reasons. Without Weiser, the Examiner has

already conceded that Higdon does not teach that the appendage can be a wing structure and that, in Higdon, “the prongs 364 do not extend away from the post toward a second end of the post” as discussed, *supra* (Final Office Action, p. 3).

Thus, the Applicants respectfully submit that Weiser, even in view of Higdon, does not teach, motivate, nor suggest the limitations of herein amended Claim 10 or those of Claim 11 by dependency. Therefore, the Applicants respectfully request that these previous grounds for rejection on this basis be withdrawn and that Claims 10 and 11 be passed to allowance.

**V. Previous Rejection of Claims 10 and 11 under 35 U.S.C. § 103(a) on the Ground of Del Mas (US 2760303), in view of Higdon (US 5375363)**

Claims 10 and 11 have been previously rejected, under 35 U.S.C. § 103(a), as being unpatentable over Del Mas (US 2760303), in view of Higdon (US 5375363). The Examiner concedes that Higdon does not teach that the appendage can be a wing structure and that, in Higdon, “the prongs 364 do not extend away from the post toward a second end of the post” as discussed, *supra* (Final Office Action, p. 3). The Examiner further concedes that “Del Mas fails to disclose the post comprising prongs flexibly coupled to a first end of the post, and the prongs extending towards the body to terminate at respective termini” (Final Office Action, p. 6), but relies on Higdon. The Applicants hereby respectfully traverse these grounds for rejection on this basis.

Nowhere, in Del Mas or Higdon, can any express or even implied suggestion be found to combine the presently claimed elements of independent Claim 10. Independent Claim 10, as herein amended, as discussed, *supra*, recites features believed to be patentably distinct from Del Mas, even in view of Higdon. In Del Mas, the reference merely teaches that “**Armholes** 15 are adapted to receive ... arm **stumps** comprising plugs 16” (col. 2, ll. 25-28). Further, the term “**stumps**” are **low aspect ratio elements by their definition** and as shown and described throughout the Specification and drawings of Del Mas.

In addition to Del Mas, Higdon merely teaches a decoy having a movable neck, the decoy comprising a **neck-to-body connector 354** having a pair of **flexible ears 364** projecting



therefrom and for inserting into a hole 366 of the body 312 (col. 3, l. 67 – col. 4, l. 6). Referring to Figures 3 and 10, Higdon discloses *only two ears* 364 which subtend an *obtuse angle* with the ring structure A2. Likewise, the term “ears” and the ring structure A2 are **low aspect ratio elements by their definition** and as shown and described throughout the Specification and drawings of Higdon.

However, to better encompass the present invention, the following amendments have been made. Claim 10 has been previously amended by inserting the language “and the plurality of *prongs extending away from said post and toward said second end*” from withdrawn Claim 1. This being so, the plurality of prongs 27, i.e., *at least two prongs*, subtend an *acute angle* with the post 12. Since the prongs 27 are coupled to the first end of the post 12 and extend away from the post 12 and toward the second end of the post 12, the prongs 27 inherently and necessarily subtend an acute angle with the post 12. In addition, the present invention recites the elements “post” and “prongs,” wherein both terms, by definition, imply an aspect ratio of greater than one, i.e., a higher aspect ratio. As such, the present invention, comprising an acute angular orientation of the high aspect ratio elements, i.e., the prongs 27 in relation to the post 12, is distinct from Higdon, disclosing an obtuse angular orientation of the low aspect ratio elements, i.e., the ears 364 in relation to the ring structure A2.

Further, Claim 10 is herein amended, as discussed, to include “the coil spring facilitating displaceable suspension of the appendage in relation to the body, the coil spring further comprising an L-shaped configuration, the post being coaxially inserted into a first end of the coil spring, and the post comprising a barrier for stopping removal of the coil spring from the post.” Claim 11 has been previously presented and recites: “The kit of Claim 10, wherein said connecting structures are insertable into said apertures.”

Thus, the Applicants respectfully submit that Del Mas, even in view of Higdon, does not teach, motivate, nor suggest the limitations of herein amended Claim 10 or those of Claim 11 by dependency. Therefore, the Applicants respectfully request that these previous grounds for rejection on this basis be withdrawn and that Claims 10 and 11 be passed to allowance.

CONCLUSION

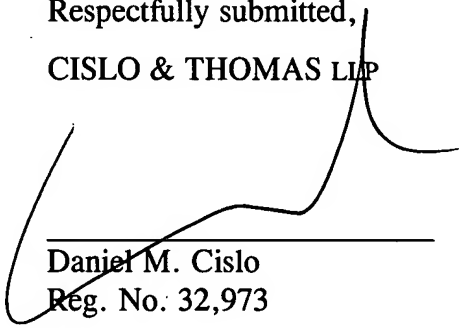
Accordingly, Claim 6 is herein canceled, without prejudice; and Claims 4, 7, and 10 are herein amended to better encompass the full scope and breadth of the present invention, notwithstanding the Applicants' belief that the claims would have been allowable as originally filed. The Applicants respectfully reassert that no claims have been narrowed within the meaning of *Festo Corp. v. Shoketsu Kinzoku Kogyo Kabushiki Co.*, 234 F.3d 558, 56 U.S.P.Q.2d (BNA) 1865 (Fed. Cir. 2000). Therefore, reconsideration of the present application in light of the foregoing amendment and these remarks is respectfully requested.

The Examiner is further cordially invited to telephone the undersigned for any reason which would advance pending claims to allowance.

Respectfully submitted,  
CISLO & THOMAS LLP

Date: \_\_\_\_\_

8-17-06

  
\_\_\_\_\_  
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PATENT

U.S. Patent Application Serial No. 10/612,094

Preliminary Amendment

Attorney Docket No. 03-11987

Customer Number

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**EXHIBIT A**



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## Patent Assignment Abstract of Title

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## Total Assignments: 1

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Inventors: Isaac Weiser, Margaret Weiser, Yong-Biao Ye, Xiao-Hong Guo, Jin-Mao Huang

Title: NOVELTIES HAVING SPRING SUPPORTED APPENDAGES

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(12) **United States Patent**  
Weiser et al.

(10) Patent No.: **US 6,599,160 B2**  
(45) Date of Patent: **Jul. 29, 2003**

(54) **NOVELTIES HAVING SPRING SUPPORTED APPENDAGES**

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(\*) Notice: Subject to any disclaimer, the term of this  
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11, 2001.

(51) Int. Cl.<sup>7</sup> ..... **A63H 27/00**

(52) U.S. Cl. .... **446/35; 40/417; 446/73;**  
**446/62**

(58) Field of Search ..... **446/30, 36, 35,**  
**446/45, 61, 62, 63, 68, 397, 71-76; 40/417;**  
**D10/116; 43/3**

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\* cited by examiner

*Primary Examiner*—Derris H. Banks

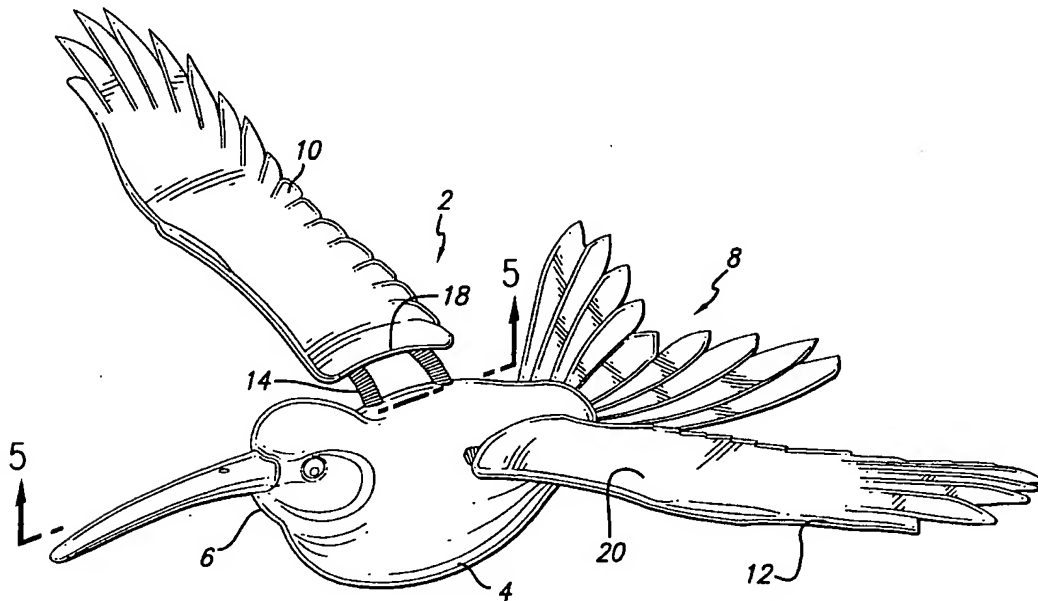
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(57) **ABSTRACT**

A novelty item having extending appendages which are  
supported by springs so as to impart life-like movement  
thereto.

**15 Claims, 9 Drawing Sheets**



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DOC DATE: 11/01/2001

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018026/0904 PAGE 2

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TITLE: NOVELTIES HAVING SPRING SUPPORTED APPENDAGES

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## PATENT ASSIGNMENT

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CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Isaac Weiser</td><td>11/15/2001</td></tr><tr><td>Margaret Weiser</td><td>11/15/2001</td></tr><tr><td>Ye Yong-Biao</td><td>11/01/2001</td></tr><tr><td>Guo Xiao-Hong</td><td>11/01/2001</td></tr><tr><td>Huang Jin-Mao</td><td>11/01/2001</td></tr></tbody></table>		Name	Execution Date	Isaac Weiser	11/15/2001	Margaret Weiser	11/15/2001	Ye Yong-Biao	11/01/2001	Guo Xiao-Hong	11/01/2001	Huang Jin-Mao	11/01/2001
Name	Execution Date												
Isaac Weiser	11/15/2001												
Margaret Weiser	11/15/2001												
Ye Yong-Biao	11/01/2001												
Guo Xiao-Hong	11/01/2001												
Huang Jin-Mao	11/01/2001												
RECEIVING PARTY DATA													
<table border="1"><tr><td>Name:</td><td>Exhart Environmental Systems, Inc.</td></tr><tr><td>Street Address:</td><td>8954 Comanche Avenue</td></tr><tr><td>City:</td><td>Chatsworth</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>91311</td></tr></table>		Name:	Exhart Environmental Systems, Inc.	Street Address:	8954 Comanche Avenue	City:	Chatsworth	State/Country:	CALIFORNIA	Postal Code:	91311		
Name:	Exhart Environmental Systems, Inc.												
Street Address:	8954 Comanche Avenue												
City:	Chatsworth												
State/Country:	CALIFORNIA												
Postal Code:	91311												
PROPERTY NUMBERS Total: 1													
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Patent Number:</td><td>6599160</td></tr></tbody></table>		Property Type	Number	Patent Number:	6599160								
Property Type	Number												
Patent Number:	6599160												
CORRESPONDENCE DATA													
Fax Number: (310)394-4477 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
Phone: 3104510647													
Email: chook@cislo.com													
Correspondent Name: Daniel M. Cislo													
Address Line 1: 233 Wilshire Boulevard													
Address Line 2: Suite 900													
Address Line 4: Santa Monica, CALIFORNIA 90401													
ATTORNEY DOCKET NUMBER:	02-10991												
NAME OF SUBMITTER:	Daniel M. Cislo												

CH \$40.00 6599160

**Total Attachments: 6**

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source=PDF of Assignment#page4.tif

PATENT  
U.S. Patent Application Serial No. 10/612,094  
Preliminary Amendment  
Attorney Docket No. 03-11987

Customer Number  
**25189**  
PATENT TRADEMARK OFFICE

**EXHIBIT B**



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## Patent Assignment Details

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Reel/Frame: 012386/0561

Recorded: 12/11/2001

Pages: 9

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

## Total properties: 1

1 Patent #: 6572428 Issue Dt: 06/03/2003 Application #: 10015286 Filing Dt: 12/11/2001  
Publication #: US20030109191 Pub Dt: 06/12/2003  
Title: NOVELTIES HAVING SPRING SUPPORTED APPENDAGES

## Assignors

- 1 YONG-BIAO, YE
- 2 XIAO-HONG, GUO
- 3 JIN-MAO, HUANG
- 4 WEISER, ISAAC
- 5 WEISER, MARGARET

Exec Dt: 09/18/2001

Exec Dt: 09/18/2001

Exec Dt: 09/18/2001

Exec Dt: 09/18/2001

Exec Dt: 09/18/2001

## Assignee

- 1 EXHART ENVIRONMENTAL SYSTEMS, INC.  
20700 DEARBORN STREET  
CHATSWORTH, CALIFORNIA 91311

## Correspondence name and address

CISLO & THOMAS, LLP  
DONALD M. CISLO  
233 WILSHIRE BOULEVARD  
SUITE 900  
SANTA MONICA, CA 90401

Parent cases assignment  
this is the parent  
to ref US  
6599160

Search Results as of: 07/20/2006 02:57 PM

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 571-272-3350

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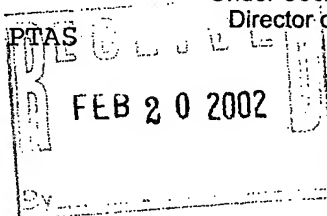
Ex B



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

FEBRUARY 13, 2002

CISLO & THOMAS, LLP  
DONALD M. CISLO  
233 WILSHIRE BOULEVARD  
SUITE 900  
SANTA MONICA, CA 90401



Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov



\*101926445A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/11/2001

REEL/FRAME: 012386/0561  
NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
YONG-BIAO, YE

DOC DATE: 09/18/2001

ASSIGNOR:  
XIAO-HONG, GUO

DOC DATE: 09/18/2001

ASSIGNOR:  
JIN-MAO, HUANG

DOC DATE: 09/18/2001

ASSIGNOR:  
WEISER, ISAAC

DOC DATE: 09/18/2001

ASSIGNOR:  
WEISER, MARGARET

DOC DATE: 09/18/2001

ASSIGNEE:  
EXHART ENVIRONMENTAL SYSTEMS, INC.  
20700 DEARBORN STREET  
CHATSWORTH, CALIFORNIA 91311

012386/0561 PAGE 2

SERIAL NUMBER: 10015286  
PATENT NUMBER:

FILING DATE: 12/11/2001  
ISSUE DATE:

TARA WASHINGTON; EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-27-2001

ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings = = =



To the Honorable Commissioner of Pa

101926445

attached original documents or copy thereof.

1. Name of conveying party(ies):

Ye Yong-Biao Isaac Weiser

Guo Xiao-Hong Margaret Weiser

Huang Jin-Mao

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Exhart Environmental Systems, Inc.

Internal Address: \_\_\_\_\_

Street Address: 20700 Dearborn Street

City: Chatsworth State: CA ZIP: 91311

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: September 18, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 1, 2001

A. Patent Application No.(s)

B. Patent No.(s)

10015286

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald M. Cislo

Internal Address: Cislo & Thomas, LLP

Street Address: 233 Wilshire Boulevard, Suite 900

City: Santa Monica State: CA ZIP: 90401

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald M. Cislo

Name of Person Signing

[Signature]  
Signature

12/11/01  
Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231



25189

PATENT TRADEMARK OFFICE

# **P A T E N T   A S S I G N M E N T**

This assignment made on November 1, 2001 by **Isaac Weiser** of Canoga Park, California; **Margaret Weiser** of Canoga Park, California; **Ye Yong-Biao**, Pan Long, Shui Tou Town, Nanan City, Fujian, China; **Guo Xiao-Hong** of Xiamen, Fujian, China and **Huang Jin-Mao** of Xiamen, Fujian, China (Assignors) to Exhart Environmental Systems, Inc., having a place of business at 20700 Dearborn Street, Chatsworth, California, USA (Assignee);

WHEREAS, Assignors have invented a new, original and useful invention entitled **NOVELTIES HAVING SPRING SUPPORTED APPENDAGES** (Invention) for which a United States patent application will be filed, further identified by their attorneys', Cislo & Thomas LLP's, docket number 01-9942;

WHEREAS, Assignors believe themselves to be the original, first, and joint inventors of the Invention(s) disclosed and claimed in said Patent Application; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment their entire right, title, and interest in and to said Invention(s), and said Patent Application in the United States and throughout the world.

NOW, THEREFORE, for good and valuable consideration, the receipt and



sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to Assignee, their entire right, title, and interest in and to said Invention(s), and said Patent Application for said Invention(s) in the United States and throughout the world, including the right to file foreign applications directly in the name of Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Further Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing said Patent Application in the United States and throughout the world for said Invention(s), and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention(s), and said Patent Application filed, and any patents granted for said Invention(s) in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Patent granted for said Invention(s), whether on an application for said Invention(s), said Patent Application, or on any subsequently filed provisional, non-provisional, divisional, continuation,

continuation-in-part, reissue, or other application, to Assignee, its successors and assigns, as the assignee of their entire interest in said Invention(s).

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date first above written and as set forth below.

“ASSIGNORS”

Signed at: Santa Monica CA  
(Place: City, State, Country) 90401  
Date: Nov 15 2001  
By: Isaac Weiser  
ISAAC WEISER  
Assignor

Signed at: Santa Monica CA  
(Place: City, State, Country) 90401  
Date: Nov. 15, 2001  
By: Margaret Weiser  
MARGARET WEISER  
Assignor

Signed at: 中國 (CHINA)  
(Place: City, State, Country)  
Date: 01-11-2001  
By: 叶永彪  
YE YONG-BIAO  
Assignor

Signed at: 中國 (CHINA)  
(Place: City, State, Country)  
Date: 01-11-2001  
By: 郭晓红  
GUO XIAO-HONG  
Assignor

Signed at: \_\_\_\_\_  
(Place: City, State, Country)

Date: \_\_\_\_\_

By: \_\_\_\_\_  
JIN-MAO HUANG  
Assignor

DEC 06 2001

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Date: Nov 15 2001

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MARGARET WEISER  
Assignor

Signed at: 中國 (CHINA)  
(Place: City, State, Country)

Date: 01-11-2001

By: 叶永彪  
YE YONG-BIAO  
Assignor

Signed at: 中國 (CHINA)  
(Place: City, State, Country)

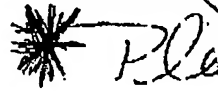
Date: 01-11-2001

By: 郭晓红  
GUO XIAO-HONG

Signed at: 中國 (CHINA)  
(Place: City, State, Country)

Date: 01-11-2001

By: 金苗  
JIN-MAO HUANG  
Assignor



Signed

for &

Thank you.

# **PATENT ASSIGNMENT**

This assignment made on November 1, 2001 by Isaac Weiser of Canoga Park, California; Margaret Weiser of Canoga Park, California; Ye Yong-Biao, Pan Long, Shui Tou Town, Nanan City, Fujian, China; Guo Xiao-Hong of Xiamen, Fujian, China and Huang Jin-Mao of Xiamen, Fujian, China (Assignors) to Exhart Environmental Systems, Inc., having a place of business at 20700 Dearborn Street, Chatsworth, California, USA (Assignee);

WHEREAS, Assignors have invented a new, original and useful invention entitled **NOVELTIES HAVING SPRING SUPPORTED APPENDAGES** (Invention) for which a United States patent application will be filed, further identified by their attorneys', Cislo & Thomas LLP's, docket number 01-9942;

WHEREAS, Assignors believe themselves to be the original, first, and joint inventors of the Invention(s) disclosed and claimed in said Patent Application; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment their entire right, title, and interest in and to said Invention(s), and said Patent Application in the United States and throughout the world.

NOW, THEREFORE, for good and valuable consideration, the receipt and

sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to Assignee, their entire right, title, and interest in and to said Invention(s), and said Patent Application for said Invention(s) in the United States and throughout the world, including the right to file foreign applications directly in the name of Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Further Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing said Patent Application in the United States and throughout the world for said Invention(s), and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention(s), and said Patent Application filed, and any patents granted for said Invention(s) in the United States and throughout the world.

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Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Patent granted for said Invention(s), whether on an application for said Invention(s), said Patent Application, or on any subsequently filed provisional, non-provisional, divisional, continuation,

continuation-in-part, reissue, or other application, to Assignee, its successors and assigns, as the assignee of their entire interest in said Invention(s).

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date first above written and as set forth below.

"ASSIGNORS"

Signed at: Santa Monica CA  
(Place: City, State, Country) 90401

Date: Nov 15 2001

By: Isaac Weiser  
ISAAC WEISER  
Assignor

Signed at: Santa Monica CA  
(Place: City, State, Country) 90401

Date: Nov 15, 2001

By: Margaret Weiser  
MARGARET WEISER  
Assignor

Signed at: 中國 (CHINA)  
(Place: City, State, Country)

Date: 01-11-2001

By: 叶永彪  
YE YONG-BIAO  
Assignor

Signed at: 中國 (CHINA)  
(Place: City, State, Country)

Date: 01-11-2001

By: 郭晓红  
GUO XIAO-HONG  
Assignor

Signed at: \_\_\_\_\_  
(Place: City, State, Country)

Date: \_\_\_\_\_

By: \_\_\_\_\_  
JIN-MAO HUANG  
Assignor

DEC 06 2001

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IN WITNESS WHEREOF, Assignors have executed this Assignment on the date first above written and as set forth below.

## "ASSIGNORS"

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(Place: City, State, Country) 90401

Date: Nov 15 2001

By: Isaac Weiser  
ISAAC WEISER  
Assignor

Signed at: Santa Monica CA  
(Place: City, State, Country) 90401

Date: Nov 15 2001

By: Margaret Weiser  
MARGARET WEISER  
Assignor

Signed at: 中國 (CHINA)  
(Place: City, State, Country)

Date: 01-11-2001

By: 叶永彪  
YE YONG-BIAO  
Assignor

Signed at: 中國 (CHINA)  
(Place: City, State, Country)

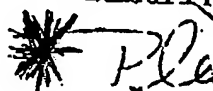
Date: 01-11-2001

By: 郭晓红  
GUO XIAO-HONG

Signed at: 中國 (CHINA)  
(Place: City, State, Country)

Date: 01-11-2001

By: 金苗  
JIN-MIAO HUANG  
Assignor



Sign.

Just to

Thank you.

PATENT  
U.S. Patent Application Serial No. 10/612,094  
Preliminary Amendment  
Attorney Docket No. 03-11987

Customer Number  
**25189**  
PATENT TRADEMARK OFFICE

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Reel/Frame: 014278/0039

Recorded: 07/01/2003

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

## Total properties: 1

1 Patent #: NONE

Issue Dt:

Application #: 10612094

Filing Dt: 07/01/2003

Publication #: US20050002728

Pub Dt: 01/06/2005

Title: Plastic connector for connecting parts and method therefor

## Assignors

1 WEISER, MARGARET

Exec Dt: 06/25/2003

2 WEISER, ISAAC

Exec Dt: 06/25/2003

## Assignee

1 EXHART ENVIRONMENTAL SYSTEMS, INC.

20700 DEARBORN STREET

CHATSWORTH, CALIFORNIA 91311

## Correspondence name and address

CISLO &amp; THOMAS LLP

DONALD M. CISLO, ESQ.

233 WILSHIRE BOULEVARD, SUITE 900

SANTA MONICA, CA 90401-1211

Search Results as of: 07/20/2006 01:16 PM

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Ex. C



JAN 25 2004

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 22, 2004

PTAS

CISLO & THOMAS LLP  
DONALD M. CISLO, ESQ.  
233 WILSHIRE BOULEVARD, SUITE 900  
SANTA MONICA, CA 90401-1211



\*102502508A\*

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RECORDATION DATE: 07/01/2003

REEL/FRAME: 014278/0039  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
WEISER, MARGARET

DOC DATE: 06/25/2003

ASSIGNOR:  
WEISER, ISAAC

DOC DATE: 06/25/2003

ASSIGNEE:  
EXHART ENVIRONMENTAL SYSTEMS, INC.  
20700 DEARBORN STREET  
CHATSWORTH, CALIFORNIA 91311

SERIAL NUMBER: 10612094  
PATENT NUMBER:

FILING DATE: 07/01/2003  
ISSUE DATE:

014278/0039 PAGE 2

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

07-21-2003

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings



To the Honorable Commissioner of Patents

102502508

Attached original documents or copy thereof.  
Address of receiving party(ies):

1. Name of conveying party(ies):  
Margaret Weiser  
Isaac Weiser

Name: Exhart Environmental Systems, Inc.  
Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Street Address: 20700 Dearborn Street  
City: Chatsworth State: CA ZIP: 91311

Execution Date: June 25, 2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 25, 2003

A. Patent Application No.(s)

B. Patent No.(s)

07/08/2003 GGBREGI 00000072 10612094

03 FC:8021

40.00

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald M. Cislo, Esq.

Internal Address: CISLO & THOMAS LLP

Street Address: 233 Wilshire Boulevard

Suite 900

City: Santa Monica State: CA ZIP: 90401-1211

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald M. Cislo, Esq.  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231



25189

PATENT TRADEMARK OFFICE

# P A T E N T   A S S I G N M E N T

This assignment made on June 25, 2003 by ISAAC and MARGARET WEISER, (Assignors) residing at 4760 Corbin Avenue, Tarzana, California 91356 to EXHART ENVIRONMENTAL SYSTEMS, INC. (Assignee), having a place of business at 20700 Dearborn Street, Chatsworth, California 91311.

WHEREAS, Assignors have invented a new, original and useful invention entitled PLASTIC CONNECTOR FOR CONNECTING PARTS AND METHOD THEREFOR (Invention), for which a United States patent application will be filed, further identified by Cislo & Thomas' Docket No. 03-11987 (Patent Application);

WHEREAS, Assignors believe themselves to be the original, first, and joint inventors of the Invention(s) disclosed and claimed in said Patent Application; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title, and interest in and to said Invention(s), and said Patent Application in the United States and throughout the world.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to Assignee, their entire right, title, and interest in and to said Invention(s), and said Patent Application for said Invention(s) in the United States and throughout the world, including the right to file foreign applications directly in the name of Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Further Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do

all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing said Patent Application in the United States and throughout the world for said Invention(s), and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention(s), and said Patent Application filed, and any patents granted for said Invention(s) in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Patent granted for said Invention(s), whether on said Patent Application, or on any subsequently filed provisional, non-provisional, divisional, continuation, continuation-in-part, reissue, or other application, to Assignee, its successors and assigns, as the assignee of its entire interest in said Invention(s).

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date first above written and as set forth below.

Date: June 25, 2003  
Signed at: Chubb Bank Co.

"Assignor"

Isaac Weiser  
Isaac Weiser

Date: June 25, 2003  
Signed at: Chubb Bank Co.

"Assignor"

Margaret Weiser  
Margaret Weiser